Seneca Foods Corp

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Submission Information

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Contact Phone 1-415-643-6080

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 Emerging Growth Company
 False

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 False

 Reporting Period
 8/18/2020

 Item List
 5.07

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Documents

8-K FORM 8-K

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

Current Report
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): August 18, 2020

SENECA FOODS CORPORATION

(Exact Name of Registrant as Specified in its Charter)

New York (State or Other Jurisdiction of Incorporation) 0-01989

(Commission File Number)

16-0733425

(IRS Employer Identification No.)

3736 South Main Street, Marion, New York 14505-9751 (Address of Principal Executive Offices, including zip code)

(315) 926-8100

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the For provisions (see General Instruction A.2. below):	m 8-K filing is intended to simultaneously satisfy	the filing obligation of the registrant under any of the following
☐ Written communications pursuant to Rule 4	25 under the Securities Act (17 CFR 230.425)	
U 1	under the Exchange Act (17 CFR 240.14a-12)	
☐ Pre-commencement communications pursua	ant to Rule 14d-2(b) under the Exchange Act (17 C	FR 240.14d-2(b))
☐ Pre-commencement communications pursua	ant to Rule 13e-4(c) under the Exchange Act (17 C	FR 240.13e-4(c))
Indicate by check mark whether the registrant is an e Exchange Act of 1934.	emerging growth company as defined in Rule 405	of the Securities Act of 1933 or Rule 12b-2 of the Securities
Emerging growth company \square		
If an emerging growth company, indicate by check if financial accounting standards provided pursuant to		tended transition period for complying with any new or revised
Securities registered pursuant to Section 12(b) of the	e Exchange Act:	
Title of Each Class	Trading Symbol	Name of Each Exchange on Which Registered
Common Stock Class A, \$.25 Par	SENEA	NASDAQ Global Market
Common Stock Class B \$ 25 Par	SENEB	NASDAO Global Market

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Item 5.07 Submission of Matters to a Vote of Security Holders

On August 18, 2020, the Company held its Annual Meeting of Shareholders. As of the record date established in connection with the Annual Meeting, the following shares of voting stock were issued and outstanding: (i) 7,383,993 shares of Class A common stock, \$0.25 par value per share ("Class A Common Stock"); (ii) 1,733,902 shares of Class B common stock, \$0.25 par value per share ("Class B Common Stock"); (iii) 200,000 shares of Six Percent (6%) Cumulative Voting Preferred Stock, \$0.25 par value per share ("G% Preferred Stock"); (iv) 407,240 shares of 10% Cumulative Convertible Voting Preferred Stock - Series A, \$0.25 stated value per share ("10% Series A Preferred Stock"); and (v) 400,000 shares of 10% Cumulative Convertible Voting Preferred Stock - Series B, \$0.25 stated value per share ("10% Series B Preferred Stock"). Each share of Class B Common Stock, 10% Series A Preferred Stock, and 10% Series B Preferred Stock was entitled to one vote on each item submitted for consideration. Each share of Class A Common Stock was entitled to one-twentieth (1/20) of one vote on each item submitted for consideration. Each share of 6% Preferred Stock was entitled to one vote, but only with respect to the election of directors.

The following is a summary of the voting at the Annual Meeting:

Election of Directors:

At the Annual Meeting, Peter R. Call, Michael F. Nozzolio, and Arthur S. Wolcott were elected directors of the Company, each to serve until the 2023 Annual Meeting.

		Withhold	Broker Non-
Name	For	Authority	Votes
Peter R. Call	2,724,375	46,437	186,428
Michael F. Nozzolio	2,762,075	8,737	186,428
Arthur S. Wolcott	2,742,238	28,574	186.428

The Board of Directors is divided into three classes having staggered terms of three years each. The terms of office of Keith A. Woodward, Kraig H. Kayser and Thomas Paulson expire in 2021 and the terms of office of John P. Gaylord, Kathryn J. Boor and Susan W. Stuart expire in 2022.

Advisor Vote on Executive Compensation:

At the Annual Meeting, the shareholders voted to provide an advisor vote on executive compensation.

For	Against	Abstentions	Broker Non-Votes
2,589,357	4,324	5,575	186,428

Ratification of Independent Registered Public Accounting Firm:

At the Annual Meeting, the shareholders voted to ratify the appointment of Plante Moran, PC as the Company's independent registered public accounting firm for the fiscal year ending March 31, 2021.

For	Against	Abstentions
2,785,056	316	312

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Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: August 18, 2020

SENECA FOODS CORPORATION

By: <u>/s/Jeffrey Van Riper</u> Jeffrey L. Van Riper Vice President and Controller